

## LECTURE 1 : GIT and good moduli spaces

Goal: Give stack-theoretic treatment of GIT

### §1. Mumford's Geometric Invariant Theory (GIT)

Setup:  $G$  lin reductive alg gp /  $k = \mathbb{C}$

↑ functor  $\text{Rep}(G) \rightarrow \text{Vect}_k$  is exact

$$V \mapsto V^G$$

- Exs: - finite gps  $G$  with  $\text{char}(k) \neq |G|$
- $\mathbb{G}_m$  or torus  $\mathbb{G}_m^n$  in any char
- $SL_n, GL_n, \dots$  in char = 0

#### Main thm (affine case)

Let  $X = \text{Spec } A$  be  $k$ -scheme with  $G$ -action.

Let  $A^G \subset A$  subring of  $G$ -invariants.

Consider  $\pi: \text{Spec } A \rightarrow \text{Spec } A^G$  (this is a  $G$ -invariant map)

① For  $Z \subseteq X$   $G$ -invariant subscheme,  $\pi(Z)$  closed. In part.,  $\pi$  is surjective

② For  $z_1, z_2 \in X$  " ,  $\pi(z_1 \cap z_2) = \pi(z_1) \cap \pi(z_2)$ .

In particular,  $\pi(x) = \pi(y) \iff \overline{Gx} \cap \overline{Gy} \neq \emptyset$

③  $\pi$  is universal for  $G$ -invariant maps to schemes

$$\begin{array}{ccc} X & \xrightarrow{\quad G\text{-inv} \quad} & Y \text{ scheme} \\ \downarrow & \nearrow \text{maps to} & \\ \text{Spec } A^G & \dashrightarrow & \end{array}$$

(9)  $A$  fin. gen/ $k \Rightarrow A^G$  fin. gen/ $k$  (Hilbert's 14th)

Cor:  $X = \text{Spec } A \rightarrow \text{Spec } A^G = X/G$  induces bijection between closed orbits and closed pts of  $X/G$ .  $\uparrow$  GIT quotient or good quotient

Ex 1  $\mathbb{G}_m \times_{\mathbb{G}_m} \mathbb{A}^n \cong \mathbb{A}^n$  via  $t \cdot (x_1, \dots, x_n) = (tx_1, \dots, tx_n)$

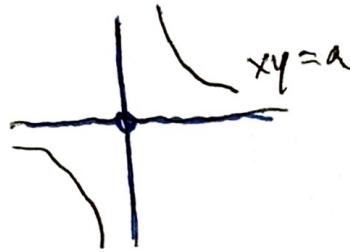
$$\begin{array}{c} \mathbb{A}^n \\ \downarrow \\ \mathbb{A}^n // \mathbb{G}_m = \text{Spec } k \end{array}$$

$$\text{but } (\mathbb{A}^n \setminus 0) // \mathbb{G}_m = \mathbb{P}^{n-1}$$

Ex 2  $\mathbb{G}_m \cap \mathbb{A}^2$  via  $t \cdot (x, y) = (tx, ty)$  [2]

$$\begin{array}{c} \mathbb{A}^2 \\ \downarrow \pi \\ \mathbb{A}^2 // \mathbb{G}_m \end{array}$$

$$\mathbb{A}^2 // \mathbb{G}_m = \text{Spec } k[x, y]$$



Here  $\mathbb{G}_m \cap \mathbb{A}^2$  acts freely and quotient  $\mathbb{A}^2 // \mathbb{G}_m$

PF is not hard (we will give it later).

Rank: Proof of (i) is much easier in this case.

Sketch of (i):  $G$  lin red  $\Rightarrow \forall I \subset A^G \quad IA \cap A^G = I$  (later)

$\Rightarrow A^G$  is noeth.

Choose  $G$ -equiv.  $k[x_1, \dots, x_n] \rightarrow A$  with kernel  $J$

$$\Rightarrow A^G = (k[x_1, \dots, x_n]/J)^G = k[x_1, \dots, x_n]^G / J^G$$

Suffices to show  $k[x_1, \dots, x_n]^G$  fin gen/k but this is graded (with  $n$  in deg 0) and noeth, so fin gen/k.

Projective setup:  $X \subseteq \mathbb{P}(V)$   $G$ -inv. closed subscheme w/  $V$  fin. dim  
G-reps

( $\mathcal{O}_X(1)$  is ample  $G$ -linearization, i.e. has  $G$ -actn)

Define the semistable locus as

$$X^{ss} = \{x \in X \mid \exists f \in \Gamma(X, \mathcal{O}_X(d))^G, d > 0 \text{ and } f(x) \neq 0\}$$

Main Thm (proj case)

$$\pi: X^{ss} \rightarrow X^{ss}/\!/_{\mathbb{G}_m} = \text{Proj} \bigoplus_{d>0} \underbrace{\Gamma(X, \mathcal{O}_X(d))^G}_{R^G} \quad \text{satisfies D-B and}$$

$X^{ss}/\!/_{\mathbb{G}_m}$  is projective.

Pf: For  $f \in \Gamma(X, \mathcal{O}_X(d))^G, d > 0$

$$\text{Spec } R_{(f)} \subset X^{ss} \subseteq X = \text{Proj } R$$

& D-B are local

$$\text{Spec } (R_{(f)})^G \subset X^{ss}/\!/_{\mathbb{G}_m} = \text{Proj } R^G$$

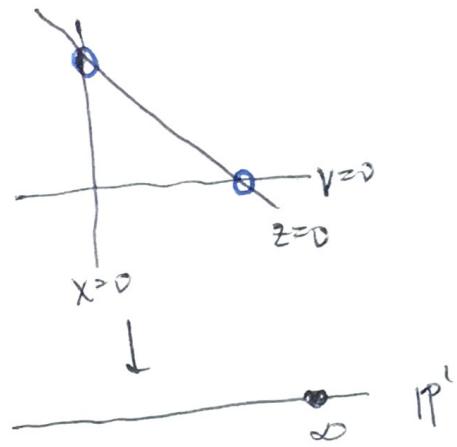
Ex:  $G_m \curvearrowright \mathbb{P}^2$  &  $[x:y:z] = [tx: t^{-1}y: z]$

$$\sim h[x,y,z]^{G_m} = h[x,y,z]$$

$$(\mathbb{P}^2)^{ss} = \mathbb{P}^2 \setminus V(x,y,z)$$

$$[x:y:z]$$

$$[xy:z] \quad (\mathbb{P}^2)^{ss} // G_m = \text{Proj } h[x,y,z] = \mathbb{P}^1$$



Good: get projective quotient for free

Bad: Difficult to determine  $X^{ss}$ , even to show non-emptiness

### Hilbert-Mumford criterion

Let  $V$  be a  $G$ -repr &  $0 \neq v \in V$

Then  $v \in P(v)$  not semistable  $\iff 0 \in \overline{Gv}$

$\iff \exists \lambda: G_m \rightarrow G, \lim_{t \rightarrow 0} \lambda(t)v = 0$

" $\iff$ " is hard

(reduces determining  $X^{ss}$  to a combinatorial question)

## § 2. Algebraic stacks

(4)

### Defn

① An alg. space is a sheaf  $X$  on  $\text{Sch}_{\text{ét}}$  s.t.

$\exists U \longrightarrow X$  repr. by schemes, étale & surj. der.  
 $\uparrow$   
 scheme

② An alg. stack is a stack  $\mathcal{X}$  on  $\text{Sch}_{\text{ét}}$  s.t.

$\exists U \longrightarrow \mathcal{X}$  representable, smooth & surjective.  
 $\uparrow$   
 (prestack) scheme

Rule: ① sheaf: functor  $\text{Sch} \rightarrow \text{Sets}$  s.t. objects glue

stack: "functor"  $\text{Sch} \rightarrow \text{Groupoids}$  s.t. objects glue

② The diagonal  $D_X: \mathcal{X} \rightarrow \mathcal{X} \times \mathcal{X}$  is repr.

Prop ① If  $G$  finite group  $\mathbb{A}^1$  freely on a scheme  $U$ ,  
 $U/G$ , defined as sheafification of  $S \mapsto U(S)/G(S)$ , is an alg. space

② If  $G$  is a smooth alg gp/h/fy scheme  $U$ , then  $[U/G]$ , defined  
 as stackification of  $S \mapsto [U(S)/G(S)]$ , is an alg. stack

Pf of ②: An object  $\overset{\text{obj}}{\in} [U/G](S)$  is  $\overset{\text{defn}}{\text{an }} P \xrightarrow{f} U$  equiv.

$$\begin{array}{c} \downarrow \\ G\text{-bdy} \\ \downarrow \\ S \end{array}$$

and there is a cartesian diagram

$$\begin{array}{ccc} P & \xrightarrow{f} & U \\ \text{sm} \downarrow & \square & \downarrow \text{sm} \\ S & \xrightarrow{g \circ f} & [U/G] \end{array}$$

Defn:  $\mathcal{X}$  has affine diagonal  $\Leftrightarrow D: \mathcal{X} \rightarrow \mathcal{X} \times \mathcal{X}$  is affine

Equiv.  $\forall a, b \in \mathcal{X}(S) \quad \text{Isom}_S(a, b) \rightarrow S$  affine

$\Rightarrow \forall x \in \mathcal{X}(k) \quad G_x = \text{Isom}_k(x, x)$  is affine

Ex:  $U$  affine diag (eg separated)  $\Rightarrow [U/G]$  affine diag

Defn Given an alg. stack  $\mathcal{X}$ , define site  $\mathcal{X}_{\text{lis-ét}}$  where

objects:  $U \xrightarrow{\text{sm}} \mathcal{X}$   
 $\uparrow$  scheme

morphism: étale maps  $U \rightarrow U'$  over  $\mathcal{X}$

covers: étale covers

We have a sheaf  $\mathcal{O}_{\mathcal{X}} := \mathcal{O}_{\mathcal{X}_{\text{lis-ét}}}$  where  $\mathcal{O}_{\mathcal{X}}(U \xrightarrow{\text{sm}} \mathcal{X}) = \mathcal{O}_U(U)$

~ notion of  $\mathcal{O}_{\mathcal{X}}$ -module.

Defn We say a sheaf  $F$  of  $\mathcal{O}_{\mathcal{X}}$ -modules is quasi-coherent if

(1) If  $U \xrightarrow{\text{sm}} \mathcal{X}$   $F|_{U_{\text{zar}}}$  q.coh.  $\mathcal{O}_U$ -mod.

(2)  $\forall U \xrightarrow{f} U'$   $f^*(F|_{U'_{\text{zar}}}) \cong F|_{U_{\text{zar}}}$

When  $\mathcal{X}$  is noetherian (i.e. qc, qs &  $\exists$  noeth presentation),

say  $F$  is coherent if  $F$  is qcoh &  $\forall U \xrightarrow{\text{sm}} \mathcal{X}$   $F|_{U_{\text{zar}}}$  coh.

Rank: Can think of  $F$  as

$(S \rightarrow \mathcal{X}) \mapsto$  q.coh  $F_S$  on  $S$   
 $\uparrow$  scheme

Ex: For  $M_g$ ,  $(S \rightarrow M_g) \mapsto F_S := \mathbb{T}_k S^1_{G/S}$

$\uparrow$   
 $C \xrightarrow{\text{sm}} S$   
 sm. family of curves

Fact: Given  $f: \mathcal{X} \rightarrow \mathcal{Y}$  qcqs,  $\exists$  adjoint functors

$$\mathbf{QCoh}(\mathcal{X}) \begin{array}{c} \xrightarrow{f_*} \\[-1ex] \xleftarrow{f^*} \end{array} \mathbf{QCoh}(\mathcal{Y})$$

(Can work w/ alg. stacks & q.coh sheaves just like sites...)

Ex.

$$\text{Spec } A \xrightarrow{P} [\text{Spec } A/\mathbb{G}] \xrightarrow{\pi} \text{Spec } A^G$$

$\downarrow g$   
 $B\mathbb{G}$

$\mathcal{Q}\text{Coh}([\text{Spec } A/\mathbb{G}]) = \{A\text{-mod w/ } G\text{-action}\}$

Ex: Write  $B\mathbb{G} = [\text{Spec } \mathbb{G}/\mathbb{G}] \cong \mathcal{Q}\text{Coh}(B\mathbb{G}) = \text{Rep}(G)$

Then for  $M$ ,

$$\hookrightarrow p^*M = M \text{ forgetting } G\text{-actn}$$

$$\hookrightarrow g_{!*}M = M \text{ forgetting } A\text{-mod structure}$$

$$\hookrightarrow \pi_*M = M^G \text{ } G\text{-invariant}$$

### §3. Good moduli spaces

Defn: A qcqs morphism  $\pi: \mathcal{X} \rightarrow X$  is a good mod space (gms) if alg. space

if (1)  $\pi_*: \mathcal{Q}\text{Coh}(\mathcal{X}) \rightarrow \mathcal{Q}\text{Coh}(X)$  exact

$$(2) \mathcal{O}_X \xrightarrow{\sim} \pi_*\mathcal{O}_{\mathcal{X}}$$

Ex:  $[\text{Spec } A/\mathbb{G}] \rightarrow \text{Spec } A^G$  is gms if  $\mathbb{G}$  lin. red.

Good: defn is remarkably simple & implies desirable geom properties

Bad: hard to verify unless  $\mathcal{X} = [\text{Spec } A/\mathbb{G}]$

Thm Let  $\pi: \mathcal{X} \rightarrow X$  be a gms defined over base  $S$ .

①  $\pi$  univ. closed & surjective

② For  $Z_1, Z_2 \subseteq \mathcal{X}$  closed,  $\pi(Z_1 \cap Z_2) = \pi(Z_1) \cap \pi(Z_2)$ .

In part, for  $x, y \in \mathcal{X}(k)$   $\pi(x) \leq \pi(y) \Leftrightarrow \{\overline{x}, \pi\} \cap \{\overline{y}\} \neq \emptyset$  in  $\mathcal{X} \times_S k$

③  $\pi$  is universal for maps to alg. spaces

④  $\mathcal{X}$  f.type/S &  $S$  noeth  $\Rightarrow \mathcal{X}$  f.type/S &  $\pi_*$  preserve charac