

Cox rings with Applications in Algebraic Geometry

Dijon
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Lecture 1 Definitions, Basic Properties and Examples Cox sheaves and Cox rings, finite gen. and UFD.

X alg. variety / \mathbb{K} , $\mathbb{K} = \overline{\mathbb{K}}$, $\text{char } \mathbb{K} = 0$ ($\mathbb{K} = \mathbb{C}$)

normal: $\mathcal{O}_{\alpha, X}$ integrally closed

prime divisor: $D \in X$ irreducible subvar. $\text{codim} = 1$

Weil divisors: $\sum a_i D_i$, $a_i \in \mathbb{Z} \rightsquigarrow \text{WDiv}(X)$



D effective if $a_i \geq 0$ ($D \geq 0$) $\rightsquigarrow \text{WDiv}(X)^+$

$f \in \mathbb{K}(X) \setminus \{0\} \rightsquigarrow \text{div}(f) = \sum_{D \text{ prime}} \nu_D(f) D = D_+ - D_-$
 \uparrow zeroes \uparrow poles

$\text{PDiv}(X) = \{ \text{div}(f) \mid f \in \mathbb{K}(X) \setminus \{0\} \} \subseteq \text{WDiv}(X)$

$\text{Cl}(X) := \text{WDiv}(X) / \text{PDiv}(X)$

Examples 1) X affine: $\text{Cl}(X) = 0 \Leftrightarrow \mathbb{K}[X]$ UFD

2) $X = \mathbb{P}^n$: $\text{Cl}(\mathbb{P}^n) = \langle [H] \rangle \cong \mathbb{Z}$

3) $X = \text{elliptic curve}$: $\text{Cl}(X) \cong \mathbb{C} \oplus \mathbb{Z}$

$D \in \text{WDiv}(X) \rightsquigarrow H^0(X, D) := \{ f \in \mathbb{K}(X)^{\times} : \text{div}(f) + D \geq 0 \} \cup \{0\}$

Assume that $\text{Cl}(X) \cong \mathbb{Z}^m$ and fix $K \in \text{WDiv}(X)$: $K \rightsquigarrow \text{Cl}(X)$

Cox ring: $R(X) = \bigoplus_{D \in K} H^0(X, D)$, $R(X)_0 = \mathbb{K}[X]$

$f_1 \in H^0(X, D_1), f_2 \in H^0(X, D_2) \Rightarrow f_1 \cdot f_2 \in H^0(X, D_1 + D_2)$

$R(X) \subseteq \mathbb{K}(X)[T_1^{\pm 1}, \dots, T_m^{\pm 1}]$, change $K \Leftrightarrow D_i \mapsto D_i + \text{div}(h_i)$

$\Rightarrow R(X)$ does not depend on K $\Leftrightarrow T_i \mapsto T_i h_i^{-1}$

Examples 1) $R(A^n) = [K[A^n]] = [K[x_1, \dots, x_n], \deg(x_i) = 0$

2) $R(P^n) = [K[z_0, z_1, \dots, z_n], \deg(z_i) = 1$

More generally, assume that $\mathcal{O}(X)$ is fin. gen.

i.e. $\mathcal{O}(X) \cong \mathbb{Z}^m \oplus A$ f.g. $\mathcal{O}(X) \cong K$
↑ finite abelian, ↓ $\mathcal{O}(X)$

$\Rightarrow R(X) = \bigoplus_{D \in K} H^0(X, D) / \mathcal{I}, H^0(X, D) \leftrightarrow H^0(X, D')$

iff $[D] = [D']$

Formally, $0 \rightarrow K^0 \rightarrow K \rightarrow \mathcal{O}(X) \rightarrow 0 \Rightarrow$

$\exists \chi: K^0 \rightarrow K(X)^{\times}, E \mapsto \chi(E), \text{div}(\chi(E)) = E$

and $\mathcal{I} = \begin{pmatrix} 1 & \\ & -\chi(E) \end{pmatrix}$
 $H^0(X, 0)$ $H^0(X, -E)$

To check well-definedness we need $K[X]^{\times} = K^{\times}$

So again $R(X) = \bigoplus_{u \in \mathcal{O}(X)} R(X)_u$ { integral normal

Unique factorization Let $R = \bigoplus_{u \in F} R_u$ be a graded algebra.

It is factorially graded if every homog. nonzero nonunit $f \in R_u$ is a product of homog. primes.

Lemma 1) If $R^{\times} = K^{\times}$, then R UFD $\Rightarrow R$ fact. graded

2) If $F \cong \mathbb{Z}^m$, then the converse holds.

Geometric arguments for 2): \mathbb{Z}^m -grading $\leftrightarrow T^m \curvearrowright X = \text{Spec } R$
torus action

factorially graded \Leftrightarrow any T^m -invar. divisor is principle. But any Weil divisor is \sim to a T -inv. divisor

Thm $R(X)$ is factorially graded.

(3)

□ $W\text{Div}(X)^+$ is freely generated by prime D_i
so it is factorial.

But any $D \in W\text{Div}(X)^+ \leftrightarrow$ line in $H^0(X, D')$
with $[D] = [D'] \in \mathcal{C}\ell(X)$

So for the monoid $HR(X)$ of homog. elements
in $R(X)$ we have $HR(X) / \mathbb{K}^\times \cong W\text{Div}(X)^+$: graded
factoriality

Corollary $\mathcal{C}\ell(X) \cong \mathbb{Z}^m \Rightarrow R(X)$ is UFD

If $\mathcal{C}\ell(X)$ has torsion, then $R(X)$ sometimes is UFD,
sometimes not.

$R(X)$ is not always finitely generated:

Reason 1: \exists factorial quasi-aff. X : $\mathbb{K}[X] = R(X)$ not f.g.

Example $X = SL_2/\mathbb{H}$ counterexample to Hilbert's
 \uparrow unipotent 14th Problem

Reason 2: $R(X) = \bigoplus_{u \in \mathcal{C}\ell(X)} R(X)_u = \bigoplus_{u \in \text{Eff}(X)} R(X)_u$ and

$\text{cone}(\text{Eff}(X))$ may be not polyhedral

Example $X = \mathbb{B}1_{P_1, \dots, P_s}(\mathbb{P}^2)$, $s \geq 9$, P_1, \dots, P_s generic
points

\Rightarrow infinitely many (-1) -curves, whose
classes generate infinitely many rays
of $\text{cone}(\text{Eff}(X))$.

Positive results:

(4)

X is toric if $\exists T \curvearrowright X$ with an open orbit $\mathcal{O} \subseteq X$

Then $X \setminus \mathcal{O} = D_1 \cup \dots \cup D_m$

\uparrow T -invariant prime divisors

Thm 1) (Cox'95) X toric \Rightarrow

$$\Rightarrow R(X) = \mathbb{K}[x_1, \dots, x_m], \quad \deg(x_i) = [D_i]$$

polynomial algebra

$\prod_{i=1}^m \mathbb{C}(x)$

2) X complete and $R(X) = \mathbb{K}[x_1, \dots, x_m]$

$\Rightarrow X$ toric

Remark Assertion 2) is open when X is

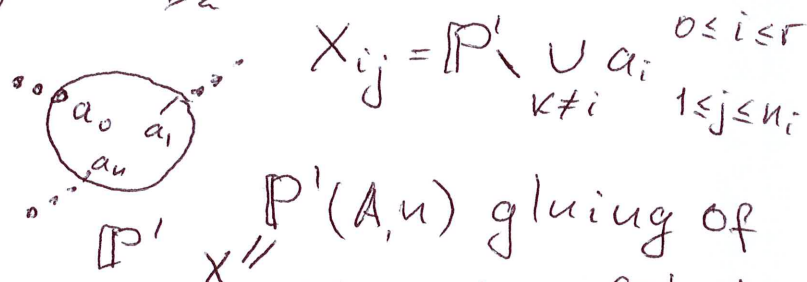
affine, it is connected with the linearization problem for tori.

Lecture 2 Remark If $U \subseteq X$ and $U \cong \mathbb{A}^n$ (1)
open

then $X \setminus U = D_1 \cup \dots \cup D_s$ and the classes $[D_1], \dots, [D_s]$ generate $\text{Cl}(X)$ freely.

Example Take \mathbb{P}^1 , $A = (a_0, \dots, a_r)$ pairwise distinct points on \mathbb{P}^1
 $n = (n_0, \dots, n_r)$, $n_i \in \mathbb{Z}_{\geq 2}$

\rightarrow prevariety $\mathbb{P}^1(A, n)$
 smooth, ~~irred~~, rational, $\dim = 1$



$R(X) = ?$

Step 1 $\text{Cl}(X) = \bigoplus_{j=1}^{n_0} \mathbb{Z}[a_{0j}] \oplus \bigoplus_{i=1}^r \left(\bigoplus_{j=1}^{n_i-1} \mathbb{Z}[a_{ij}] \right)$

Step 2 $R(X)$ is generated by $\tau_{ij} \leftrightarrow 1 \in H^0(X, a_{ij})$

Step 3 If $r \leq 1$ then X toric $\Rightarrow R(X) = \mathbb{K}[\tau_{ij}]$

Step 4 If $r \geq 2$ then $\tau_i := \tau_{i1} \dots \tau_{in_i}$, $a_i = [b_i : c_i] \in \mathbb{P}^1$

$R(X) = \mathbb{K}[\tau_{ij}] / (g_s)$, $g_s = (b_{s+1} c_{s+2} - b_{s+2} c_{s+1}) \tau_s + \dots + (b_{s+2} c_s - b_s c_{s+2}) \tau_{s+1} + \dots \tau_{s+2}$
 $0 \leq s \leq r-2$

Cox rings and GIT: X_{normal} , $\text{Cl}(X)$ f.g., $\mathbb{K}[X]^\times = \mathbb{K}^\times$

Cox sheaf \mathcal{R} : $U \subseteq X \xrightarrow{\text{open}} \mathcal{R}(U) = \bigoplus_{\mathbb{R} \times \mathbb{K}} H^0(U, \mathcal{D}) / \mathcal{I}(U)$

Claim $R(X)$ is the ring of global sections of \mathcal{R} .

Geometric objects : $\hat{X} = \text{Spec}_x R$
 \uparrow characteristic space

Claim If X is \mathbb{Q} -factorial, then R is locally of finite type and \hat{X} is quasi-affine, $[K[\hat{X}] = R(X)]$

Def If $R(X)$ is finitely gen., then X is a Mori Dream Space (MDS)

In this case we have $\bar{X} := \text{Spec } R(X)$

Finally, \uparrow total coord. space: affine (factorial) variety

$H := \text{Spec } K[\text{cl}(X)]$ diagonalizable group
 \uparrow characteristic quasitorus $T \times A$

Thm We have $\hat{X} \xrightarrow[\text{open}]{} \bar{X} : \text{codim}_{\bar{X}}(\bar{X} \setminus \hat{X}) \geq 2$

Properties:

$\downarrow // H$ good quotient
 X

Example $\mathbb{A}^{n+1} \setminus \{0\} \hookrightarrow \mathbb{A}^{n+1}$

1) $H \curvearrowright \hat{X}$ freely $\Leftrightarrow X$ locally factorial (e.g. smooth)
 $\hat{X} \xrightarrow{H} X$ universal torsor

$\downarrow // \mathbb{K}^\times$
 \mathbb{P}^n

2) $\hat{X} \xrightarrow{H} X$ is geometric

\Leftrightarrow all stabilizers are finite $\Leftrightarrow X$ is \mathbb{Q} -factorial

3) $\hat{X} = \bar{X} \Leftrightarrow X$ affine

Toric case : $\mathbb{A}^m \setminus Z \subseteq \mathbb{A}^m$, Z union of some coordinate planes in \mathbb{A}^m of $\text{codim} \geq 2$
 $\downarrow // H$
 X

Def X toric is non-degenerate if $\mathbb{K}[X]^* = \mathbb{K}^*$ (3)

$$\Leftrightarrow X \not\cong X' \times_{\text{toric}} \mathbb{T}_1$$

Observation X toric aff. non-degenerate

$$\Leftrightarrow X \cong \mathbb{A}^m // H, \quad H \curvearrowright \mathbb{A}^m \text{ linearly.}$$

Linearization Problem for tori: $\mathbb{T} \curvearrowright \mathbb{A}^m$

?
 \Rightarrow this action is conjugate in $\text{Aut}(\mathbb{A}^m)$
to a linear action.

Yes for $m \leq 3$, for $m=4$ and $\mathbb{K}^* \curvearrowright (\mathbb{K}^*)^2 \curvearrowright \mathbb{A}^4$ open

Algebraic reformulation: $\mathbb{K}[x_1, \dots, x_m] = A = \bigoplus_{u \in \mathbb{Z}^k} A_u$

Can we find homog. alg. indep. generators?

Connection: X aff., $\bar{X} = \mathbb{A}^m \Rightarrow X = \mathbb{A}^m // H$

If $H \curvearrowright \mathbb{A}^m$ is linear then X is toric

Iteration of Cox rings: $\bar{X} \supseteq \hat{X} \xrightarrow{\parallel_H} X$. If $\mathcal{C}\ell(X)$

has torsion, then \bar{X} may be not factorial \Rightarrow

\Rightarrow take the Cox ring of \bar{X} , $X_1 = \text{Spec } R(\bar{X})$

and so on: $\dots X_s \xrightarrow{\parallel_{H_s}} \dots \rightarrow X_1 \xrightarrow{\parallel_{H_1}} \bar{X} \supseteq \hat{X} \xrightarrow{\parallel_H} X$

It terminates ^{if} whether $\mathcal{C}\ell(X_s)$ is not fin. gen.,

or $\mathcal{C}\ell(X_s) = 0$. Always terminates?

Given a quotient presentation $\hat{X} \xrightarrow{\text{H}} X$, (4)
quasi-aff.

when is it canonical (Cox)? Lecture 3

Thm This is the case iff

$\mathbb{K}[\hat{X}]^{\times} = \mathbb{K}^{\times}$, \hat{X} is H -factorial (all H -inv. divisors are principle)

and $H \curvearrowright \hat{X}$ strongly stable:

\exists open H -inv. $W \subseteq \hat{X}$:

- 1) $\text{codim}_{\hat{X}}(\hat{X} \setminus W) \geq 2$;
- 2) $H \curvearrowright W$ freely;

($* W = \text{preimage of } X^{\text{reg}} *$) 3) $\forall x \in W$ H_x is closed in \hat{X} .

Example Take $G = SL_n \supseteq B = \left\{ \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \right\} \supseteq U = \left\{ \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \right\}$

$X = G/B$ flag variety, smooth, projective. $R(X) = ?$

$\mathbb{K}[SL_n]$ UFD $\Rightarrow \mathbb{K}[SL_n]^U$ UFD \Rightarrow

$\Rightarrow \text{Cl}(SL_n/U) = 0$ and $\mathbb{K}[SL_n]^{\times} = \mathbb{K}^{\times}$

$T = \left\{ \begin{pmatrix} * & 0 \\ 0 & * \end{pmatrix} \right\} \subseteq SL_n$, $T \curvearrowright SL_n/U$, $t \cdot gU = gt^{-1}U$
quasi-affine
free

and $(SL_n/U)/T \cong G/B \Rightarrow \widehat{G/B} = G/U$ and $R(G/B) = \mathbb{K}[G]^U$

More generally, G semisimple simply connected, $F \subseteq G$ closed subgroup, $X = G/F$ quasiprojective

Then 1) $\text{Cl}(X) \cong \mathcal{X}(F)$. Let $F_i := \bigcap_{X \in \mathcal{X}(F)} \text{Ker } X$

2) $\hat{X} = G/F_i \xrightarrow{H} G/F$, where $H := F/F_i$.

Let us recall from Lecture 1

(5)

Reason 2 for non-fin. generation of $R(X)$:


if ~~cone~~ $(\text{Eff}(X))$ is not polyhedral, then $R(X)$ is not f.g.

Question $\text{Eff}(X)$ polyhedral $\stackrel{?}{\Rightarrow} R(X)$ fin. gen.

Sometimes yes: say, for K3-surface

But in general no even for surfaces

Example Let S be a smooth general quartic surface in \mathbb{P}^3 and $p \in S$ be a general point. Let $X = \text{Bl}_p S$.

$\text{Eff}(X)$:  C is nef, but not semiample $\Rightarrow X$ is not Mori Dream Space

Types of divisors: (1) effective

Base locus: $B_S(D) = \bigcap_{\substack{D' \sim D \\ \text{eff}}} \text{Supp}(D')$

Picture 1

stable base locus: $B(D) = \bigcap_{n \geq 0} B_S(nD)$

(2) D movable if $\text{codim}_X B(D) \geq 2$

(3) D numerically effective (nef) if $D \cdot C \geq 0 \quad \forall C$
irr. curve

(4) D semiample if $B(D) = \emptyset$

(5) D ample if $X = \bigcup_i U_i$ and $X \setminus U_i = \text{Supp}(D_i)$
 U_i open affine $D_i \sim nD$ for some n .

Orbit cones and GIT-chambers

Picture 2

H torus \curvearrowright $Z = \overline{X}$, aff , $(K[Z]) = (K[f_1, \dots, f_m])$, $h \cdot f_i = \chi_i(h) f_i$
 $\chi_i \in \mathcal{X}(H) \cong \mathbb{Z}^m$

Weight cone $w_Z = \text{cone}(x_1, \dots, x_k) \subseteq \mathbb{Q}^m$

(6)

Let us assume that w_Z is pointed

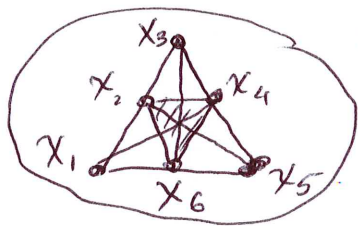
Take $x \in Z$ and define orbit cone $w_x = \text{cone}(x) \exists f_x: f_x(x) \neq 0$
 It is generated by a subset of $\{x_1, \dots, x_k\}$

Take $x \in w_Z$ and define its GIT-chamber as

$$\lambda(x) = \bigcap_{x \in w_{oc}} w_{oc}$$

Claim GIT-chambers form a fan $\{\lambda(x), x \in w_Z\}$ with w_Z as the support. It is called GIT-fan.

Example $w_Z \subseteq \mathbb{Q}^{m=3}$, $x_1, \dots, x_6 \in V(Z_3=1)$



$Z_3=1$

$$\text{Mov}_Z := \bigcap_{i=1}^k \text{cone}(x_1, \dots, \hat{x}_i, \dots, x_k)$$

moving cone

$\forall x \in w_Z$ we define $Z^{ss}(x) = \{x \in Z \mid \exists f_{kx}, k \in Z_{\neq 0} \text{ such that } f_{kx}(x) \neq 0\}$

Then we have $X(x) := Z^{ss}(x) // H$

Claim If $\lambda(x) = \lambda(x')$ then ~~$X(x) = X(x')$~~ $Z^{ss}(x) = Z^{ss}(x')$

and so $X(x) = X(x')$

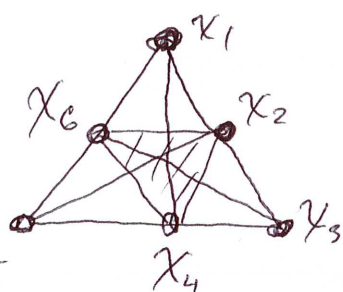
Lecture 4

$$H \simeq \mathbb{Z}$$

$$K[Z] =$$

$$= K[f_1, \dots, f_k]$$

$$x_1, \dots, x_k$$



Moving cone

(7)

$$\text{Mov}_{\mathbb{Z}} := \bigcap_{i=1}^k \text{cone}(x_1, \dots, \hat{x}_i, \dots, x_k)$$

$$\forall X \in \omega_{\mathbb{Z}} \Rightarrow Z^{ss}(X) = \left\{ x \in \mathbb{Z} \mid \exists \{f_{kx}, k > 0\} \right. \\ \left. f_{kx}(x) \neq 0 \right\}$$

$$\Rightarrow \exists X(X) := Z^{ss}(X) //_{\mathbb{H}} \text{ projective over } \mathbb{Z} //_{\mathbb{H}}$$

Claim

$$\lambda(X) \subseteq \lambda(X') \Leftrightarrow Z^{ss}(X) \supseteq Z^{ss}(X')$$

$$\downarrow //_{\mathbb{H}}$$

$$\downarrow //_{\mathbb{H}}$$

$$X(X) \leftarrow X(X')$$

Take X projective, \mathbb{Q} -fact, MDS, $Z = \bar{X} = \text{Spec } R(X)$

$\Rightarrow X = X(X), \lambda(X) = \text{SAmp}(X) \subseteq \text{Mov}_{\mathbb{Z}}^{\circ}$ full dim.

Take $D \in \omega \text{Div}(X)^+$ and let $X \dashrightarrow \mathbb{P}^n$ be defined by linear system $|kD|, k \gg 0$.

Let $X(D) :=$ closure of the image of ~~X~~ in \mathbb{P}^n

Then $X(D) = X(\mu), \mu = [D]$ and $X \dashrightarrow X(D)$

is • birational $\Leftrightarrow [D] \in \text{Eff}(X)^{\circ}$

• small modification $\Leftrightarrow [D] \in \text{Mov}(X)^{\circ}$
(i.e. iso in codim 1)

• morphism $\Leftrightarrow [D] \in \text{SAmp}(X) = \lambda(X)$

• isomorphism $\Leftrightarrow [D] \in \text{Amp}(X) = \lambda(X)^{\circ}$

Non-projective $X \iff$ "bunches" of orbit (8)
 (with A_2 -property: cones, Gale dual of fans
 $\forall x, y \in X \exists U \subseteq X$ s.t. $x, y \in U$
 open aff)

Let G be reductive, $B \subset G$ Borel, $G \curvearrowright X$

Complexity $C_G(X) := \min_{x \in X} \text{codim}_x Bx = \text{tr. deg } \mathbb{K}(X)^B$

Example $G = B = T$

Thm (Knop'93) X normal unirat., $G \curvearrowright X$, $C_G(X) \leq 1$
 $\implies \mathbb{K}[X]$ f.g.

Corollary $\implies \mathbb{C}\ell(X)$ f.g. and $R(X)$ f.g.

Lifting group action: G connect. linear alg. group
 $\hat{G} \curvearrowright \hat{X} \subseteq \bar{X}$ Example

finite \downarrow
 $G \curvearrowright X$
 $GL_n \curvearrowright \mathbb{A}^n \setminus \{0\} \subseteq \mathbb{A}^n$
 $\downarrow \quad \downarrow \mathbb{K}^*$
 $PGL_n \curvearrowright \mathbb{P}^{n-1}$

Computing automorphism groups

Thm (Ramanujam'64) X complete $\implies \text{Aut}(X)^\circ$ alg. group

X is MDS: $\mathfrak{g} \in \text{Aut}(\bar{X}, H) \iff \mathfrak{g} \in \text{Aut}(\bar{X})$ and

$\text{Bir}_2(X)$: birational automorp. $\left\{ \begin{array}{l} \mathfrak{g}(hx) = \tilde{\mathfrak{g}}(h) \mathfrak{g}(x) \\ \text{for some } \tilde{\mathfrak{g}} \in \text{Aut}(H) \end{array} \right.$
 + iso of two big open subsets

(9)

Claim $1 \rightarrow H \rightarrow \text{Aut}(\bar{X}, H) \rightarrow \text{Bir}_2(X) \rightarrow 1$
 $\quad \quad \quad \parallel \quad \quad \quad \uparrow \quad \quad \quad \uparrow \text{ of finite index}$
 $1 \rightarrow H \rightarrow \text{Aut}(\hat{X}, H) \rightarrow \text{Aut}(X) \rightarrow 1$

$\Rightarrow \text{Aut}(X)^\circ$ depends only on $R(X)$.

Thm X complete MDS $\Rightarrow \text{Aut}(X)$ linear alg.

$\square \exists$ f. dim $U \subseteq R(X)$: (1) U generates $R(X)$
 (2) U is $\text{Aut}(X)$ -invar.

$\Rightarrow \text{Aut}(X) \subseteq G(U)$ closed \square

Cox-Nagata rings

Take $a_1, \dots, a_r \in A^{n+1}$ linearly indep.

$p_i = [a_i] \in \mathbb{P}^n, X = \text{Bl}_{p_1, \dots, p_r}(\mathbb{P}^n)$

Take $A = (a_1 \dots a_r)$ $(n+1) \times r$ -matrix

$\text{Ker } A = U \subseteq K^r$ commut. unipot. group

$U \cong K^r \times K^r, u \cdot (z, w) = (z, w_1 + uz_1, \dots, w_r + uz_r)$

Thm $R(X) \cong [K[z_1, \dots, z_r, w_1, \dots, w_r]]^U$

Research topics : (0) Compute $R(X)$ (10)

- (1) $R(X)$ is given by specific relations $\Leftrightarrow X - ?$
- (2) G_a -actions on MDS $X \Leftrightarrow$ homog. of degree zero LND's on $R(X)$
- (3) $\exists G_a^n \curvearrowright X$ with an open orbit $\Leftrightarrow R(X) - ?$
- (4) Compute $\text{Aut}(X)$:
 - 1) X complete toric (Demazure, Cox, ...)
 - 2) X complete rational. $C_T(X) = 1$ [AHHL'14]
 - 3) -----